

APPLICATION  
FOR  
UNITED STATES LETTERS PATENT

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PATENT APPLICATION

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SPECIFICATION

TO ALL WHOM IT MAY CONCERN:

Be it known that Mary Sinnot of 4192 Sunrise Drive, Park City, Utah 84098, Dan Gerbec of 4192 Sunrise Drive, Park City, Utah 84098, Alan Chervitz of 4084 Arlington Drive, Palm Harbor, FL 34658 and T. Wade Fallin of 210 East, 200 South, Hyde Park, Utah 34318, have invented certain improvements in SYSTEM AND METHOD FOR ATTACHING SOFT TISSUE TO BONE, of which the following description is a specification.

KT/MED10.CVR

## SYSTEM AND METHOD FOR ATTACHING SOFT TISSUE TO BONE

### Reference To Pending Prior Patent Application

5           This patent application claims the benefit of  
pending prior U.S. Provisional Patent Application  
Serial No. 60/301,596, filed 06/28/01 by Mary Sinnot et  
al. for SYSTEM AND METHOD FOR ATTACHING SOFT TISSUE TO  
BONE (Attorney's Docket No. MED-10 PROV), which patent  
10       application is hereby incorporated herein by reference.

### Field Of The Invention

          This invention relates to medical devices and  
procedures in general, and more particularly to systems  
15       and methods for attaching soft tissue to bone.

### Background Of The Invention

          The complete or partial detachment of ligaments,  
tendons and/or other soft tissues from their associated  
20       bones within the body are relatively commonplace  
injuries, particularly among athletes. Such injuries  
are generally the result of excessive stresses being  
placed on these tissues. By way of example, tissue

detachment may occur as the result of an accident such as a fall, over-exertion during a work-related activity, during the course of an athletic event, or in any one of many other situations and/or activities.

5           In the case of a partial detachment, the injury will frequently heal itself, if given sufficient time and if care is taken not to expose the injury to further undue stress. In the case of complete detachment, however, surgery may be needed to  
10       re-attach the soft tissue to its associated bone or bones.

          Numerous devices are currently available to re-attach soft tissue to bone. Examples of such currently-available devices include screws, staples,  
15       suture anchors and tacks.

          In soft tissue re-attachment procedures utilizing screws, the detached soft tissue is typically moved back into its original position over the bone. Then the screw is screwed through the soft tissue and into  
20       the bone, with the shank and head of the screw holding the soft tissue to the bone.

          Similarly, in soft tissue re-attachment procedures utilizing staples, the detached soft tissue is

typically moved back into its original position over the bone. Then the staple is driven through the soft tissue and into the bone, with the legs and bridge of the staple holding the soft tissue to the bone.

5           In soft tissue re-attachment procedures utilizing suture anchors, an anchor-receiving hole is generally first drilled in the bone at the desired point of tissue re-attachment. Then a suture anchor is deployed in the hole using an appropriate installation tool.

10       This effectively locks the suture to the bone, with the free end(s) of the suture extending out of the bone. Next, the soft tissue is moved into position over the hole containing the deployed suture anchor. As this is done, the free end(s) of the suture is (are) passed

15       through or around the soft tissue, so that the free end(s) of the suture reside(s) on the far (i.e., non-bone) side of the soft tissue. Finally, the suture is used to tie the soft tissue securely to the bone.

          Alternatively, in some soft tissue re-attachment

20       procedures utilizing suture anchors of the type described above, the soft tissue may first be moved into position over the bone. Then, while the soft tissue lies in position against the bone, a single hole

may be drilled through the soft tissue and into the bone. Next, a suture anchor is passed through the soft tissue and deployed in the bone using an appropriate installation tool. This results in the suture anchor  
5 being locked to the bone, with the free end(s) of the suture extending out of the bone and through the soft tissue. Finally, the suture is used to tie the soft tissue securely to the bone.

In some cases, the suture anchor may include drill  
10 means at its distal end, whereby the suture anchor can be drilled into the bone, or drilled through the soft tissue and into the bone, whereby the aforementioned drilling and anchor-deployment steps are effectively combined.

15 Similarly, in soft tissue re-attachment procedures utilizing tacks, the detached soft tissue is typically moved back into its original position over the bone, and then a tack-receiving hole is generally drilled through the soft tissue and into the bone. Then the  
20 tack is driven through the soft tissue and into the bone, so that the shaft and head of the tack will hold the soft tissue to the bone.

While systems and methods based on the  
aforementioned screws, staples, suture anchors and  
tacks are generally effective, they also all suffer  
from one or more disadvantages.

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#### Summary Of The Invention

Accordingly, one object of the present invention  
is to provide a novel system and method for  
re-attaching soft tissue to bone which improves upon  
10 the prior art devices and techniques discussed above.

Another object of the present invention is to  
provide a novel system and method for re-attaching soft  
tissue to bone which is easy to use and simple to  
perform.

15 And another object of the present invention is to  
provide a novel system and method for re-attaching soft  
tissue to bone which expedites and facilitates the re-  
attachment procedure.

20 Still another object of the present invention is  
to provide a novel system and method for re-attaching  
soft tissue to bone which minimizes trauma to the  
patient during the re-attachment procedure.

Yet another object of the present invention is to provide a novel system and method for re-attaching soft tissue to bone which can be used in both open surgical procedures and in closed surgical procedures (e.g.,  
5 arthroscopic or endoscopic surgical procedures) where access to the surgical site is provided by one or more cannulas.

And another object of the present invention is to provide a novel system and method for re-attaching soft  
10 tissue to bone which is also usable in the attachment of prosthetic devices, and/or grafts of natural and/or synthetic material, to bone or bone-like structures.

These and other objects of the present invention  
15 are achieved by the provision and use of a novel two-part anchor for attaching soft tissue and the like to bone. In one form of the invention, the two-part anchor generally comprises a stake and a cap. The stake is adapted to be positioned in bone and form a  
20 stake for impalement by a piece of soft tissue. The cap is adapted to cap soft tissue which has been impaled on the stake and thereby bind the soft tissue

to the stake and, hence, to the bone in which the stake is set.

#### Brief Description Of The Drawings

5           These and other objects and features of the present invention will be more fully disclosed or rendered obvious by the following detailed description of the preferred embodiments of the invention, which is to be considered together with the accompanying  
10       drawings wherein like numbers refer to like parts, and further wherein:

          Fig. 1 is a perspective view of a novel two-part anchor formed in accordance with the present invention;

          Fig. 2 is a perspective view of the stake used in  
15       the two-part anchor shown in Fig. 1;

          Fig. 3 is a side view of the stake used in the two-part anchor shown in Fig. 1;

          Fig. 4 is a perspective view of the cap used in the two-part anchor shown in Fig. 1;

20           Fig. 5 is a perspective view of an alternative form of cap;

          Fig. 6 is a perspective view showing the cap of Fig. 5 mounted on the stake shown in Fig. 2;



Fig. 7 is a stake inserter formed in accordance with the present invention;

Figs. 8-21 are a series of views showing a cap inserter adapted for use with the cap shown in Fig. 5; and

Figs. 22-31 are a series of views showing the two-part anchor of Fig. 6 securing soft tissue to bone.

#### Detailed Description Of The Preferred Embodiments

Looking first at Figs. 1-4, there is shown a two-part anchor 5 which generally comprises a stake 100 and a cap 200.

Stake 100 is adapted to be positioned in bone and form a stake for impalement by a piece of soft tissue. To this end, stake 100 generally comprises an elongated body 103 having a distal end 105 and a proximal end 110. Stake 100 has a first exterior thread 115 formed on the distal end thereof. Thread 115 preferably begins at the stake's distal end 105 and extends for approximately half of the total length of the stake. Thread 115 is preferably a buttress thread to initially facilitate turning stake 100 into bone and to thereafter resist a pulling withdrawal of stake 100

from bone. The proximal end 110 of stake 100 terminates in a sharp point 117. Intermediate first exterior thread 115 and sharp point 117 is a second exterior thread 118. Second exterior thread 118 is also a buttress thread, but oriented with a reverse orientation from that of the aforementioned first exterior thread 115, such that thread 118 will initially facilitate the pushing insertion of cap 200 thereover and to thereafter resist a pulling withdrawal of cap 200 from stake 100. Stake 100 also comprises a passageway 120. Passageway 120 opens on the proximal end 110 of stake 100 and preferably extends for substantially the entire length of stake 100. Passageway 120 has a non-circular cross-section, such that the passageway may receive a driving tool therein and stake 100 may thereafter be turned by the driving tool so as to set stake 100 into bone. By way of example but not limitation, passageway 120 may comprise a hexagonal cross-section, such that stake 100 may be turned by a hexagonal driver of the sort generally known in the orthopedic arts.

Cap 200 is adapted to cap soft tissue which has been impaled on stake 100 and thereby bind the soft

tissue to the stake 100 and, hence, to the bone in which stake 100 is set. To this end, cap 200 generally comprises a flat body 205 having a distal end 210 and a proximal end 215. A passageway 220 opens on the proximal end 215 of cap 200 and extends completely through cap 200, whereby cap 200 can be pushed over the proximal end 110 of stake 100 once tissue has been impaled on the stake, with the distal end 210 of cap 200 engaging the top surface of the tissue impaled on stake 100. If necessary, cap 200 can be removed from stake 100 by unscrewing the cap from the stake.

In Fig. 4, cap 200 is shown as having a substantially round profile when viewed in end view. However, if desired, cap 200 may have a substantially elliptical profile such as is shown in Figs. 5 and 6, and may have two or more distally projecting feet 225 formed thereon for engaging tissue captured distal to the cap.

Looking next at Fig. 7, there is shown a stake inserter 300 which is adapted to set stake 100 into bone. Stake inserter 300 generally comprises a shaft 305 having a distal end 310 and a proximal end 315. A tip 320 extends distally from the shaft's distal end

310. Tip 320 has as non-circular cross-sectional configuration corresponding to the non-circular cross-sectional configuration of the passageway 120 of stake 100, whereby tip 320 can be inserted into  
5 passageway 120 and transfer rotary motion of stake inserter 300 to stake 100. The tip 320 of stake inserter 300 is preferably long enough to drive stake 100 over the entire length of stake 100, whereby to spread torsional loads over the entire stake 100. If  
10 desired, tip 320 may be formed long enough to extend out of the end of stake 100, and may be formed with a share distal tip; in this event, it may be possible to set stake 100 in some types of bone without pre-drilling the bone. Stake inserter 300 also  
15 comprises a handle 325 which is secured to the proximal end 315 of shaft 305, whereby stake inserter 300 may be turned by a surgeon.

Looking next at Figs. 8-21, there is shown a cap inserter 400 which is adapted to set cap 200 onto the  
20 sharpened proximal end of the stake after tissue has been impaled on the stake. Cap inserter 400 generally comprises a shaft 405 having a distal end 410 and a proximal end 415. A first recess 420 is formed in

distal end 410 and is sized and shaped to receive cap 200 therein. By way of example, cap inserter 400 shown in Figs. 8-21 has a substantially elliptically-shaped recess 420 formed therein, wherein the cap inserter may receive the elliptical cap of Figs. 5 and 6 therein. Cap inserter 400 also comprises a second recess 425 formed in its distal end 410. Second recess 425 is sized and shaped to receive the proximal end of a stake 100 when cap inserter 100 is deploying a cap 200 on a stake 100 and trimming off the proximal end of the stake, as will hereinafter be described.

Cap inserter 400 also comprises a guillotine cutter assembly 430 which is adapted to trim off the sharp proximal end of stake 100 after cap 200 has been installed thereon. Guillotine cutter 430 comprises an arm 435 which is pivotally attached to shaft 405, and a blade 440 which is connected to arm 435 and adapted to move radially inwardly as arm 435 is forced parallel to the longitudinal axis of shaft 405. An outer tube 445 is placed concentrically around shaft 405; forcing outer tube 445 distally forces blade 440 radially inwardly, so as to cut off the sharp proximal end of a

stake extending into the inserter's second recess 425. Figs. 8-21 illustrate the structure and operation of the cutter assembly 430. In Figs. 14, 15, 17, 18, 20 and 21, the distal extent of the stake threads 118 is shortened for clearer illustration of the cap inserter tool second recess.

Two-part anchor 5 may be used as follows.

First, as shown in Fig. 22, a hole 500 is formed in a bone 505. Next, stake 100 is loaded onto the distal end of stake inserter 300 (Fig. 23) and then screwed down into the hole 500 formed in bone 505. Then stake inserter 300 is withdrawn, leaving stake 100 set in bone 505 with its sharp proximal end protruding. This process is repeated as many times as desired, until one or more stakes 100 are left protruding from bone 505 (Fig. 24). Next, a piece of soft tissue 600 is pulled over the sharp protruding proximal ends of stakes 100 and impaled on the stakes (Fig. 25). Then a cap 200 is loaded onto cap inserter 400 (Fig. 26), aligned with one of the deployed stakes 100, and then set down over the protruding sharp proximal end of the stake. As this occurs, cap 200 is forced over the proximal end of the stake, so that the cap engages

threads 118 and locks thereon. Then outer tube 445 is moved distally, whereby to trim off the sharp proximal end of stake 100, leaving only cap 200 standing proud over the upper surface of the soft tissue (Fig. 28).

5 Figs. 29-31 illustrate an alternative form of cap 200. Here, cap 200 is formed with a blind hole 220 extending proximally from its distal end. This blind hole 220 receives the sharp proximal end of stake 100, whereby cap 200 can envelope and shield the sharp  
10 proximal end of stake 100 (Fig. 31).

Stake 100 and cap 200 can be formed out of a variety of suitable biocompatible materials. In one preferred embodiment of the invention, stake 100 and cap 200 are formed out of bioabsorbable materials. In  
15 this form of the invention, stake 100 and cap 200 are preferably configured so that they absorb at different rates, with cap 200 absorbing more quickly and stake 100 absorbing more slowly. Stake 100 is preferably also configured so as to be osteogenic, i.e., so as to  
20 encourage bone ingrowth and/or remodeling. By way of example but not limitation, stake 100 may be formed out of PLA, PGA, PDS, polycaprolactone, hydroxyapatite, tricalcium phosphate, osteogenic proteins, allograft

bone, synthetic bone, etc. By way of further example but not limitation, cap 200 may be formed out of PLA, PGA, PDS, polycaprolactone, etc. In this respect it should be appreciated that by forming stake 100 so that  
5 it may be driven over substantially the entire length of the stake, such that torsional loads are spread over substantially the entire length of the stake, a broader range of materials and compositions can be used for fabricating stake 100.